



2016 VILLAGE OF ASHVILLE 3RD QUARTERLY F & O REPORT

Pickaway County 4PC00005

The Village of Ashville will report on the status of the items identified in the Findings and Orders in Quarterly Reports. The three areas covered include:

- Construction of new Water Resource Recovery Facility (WRRF) in the orders listed as WWTP
- Create an Infiltration and Inflow (I & I) Plan
- Special Environmental Projects (SEP) - Completed



Section One



Section Two



Section Three



Franklin Christman, Village Administrator

October 2016



2016 VILLAGE OF ASHVILLE 3RD QUARTERLY F & O REPORT



Construction of new Water Resource Recovery Facility (WRRF)

The first section of this quarter report will deal with the status of the Water Resource Recovery Facility (WRRF). The first heading will discuss the design, permit, and bidding status. The second heading will deal with the status of obtaining funds for the WRRF. The third heading will be the Construction section when that began March 2016, see Figure Five.

PLANNING, DESIGN, PERMIT, AND BID, STATUS

The Planning, Design, and Permitting phase has been completed.

FINANCING STATUS

The majority of the funding for Part A and B is being managed through the Ohio Water Development Authority (OWDA). The \$13,871,722.75 loan for Part A is the Ohio Water Pollution Control Loan Fund (WPCLF) which was acquired with the help of the Division of Environmental & Financial Assistance (DEFA). This loan has interest terms of 0.48%. Land has been acquired and annexed into the Village of Ashville. Construction for Part A of the Water Resource Recovery Facility is underway.

Part B has funding from OPWC for \$500,000.00. The \$989,605.15 balance for Part B is from the Ohio Water Pollution Control Loan Fund (WPCLF) which was acquired through the Division of Environmental & Financial Assistance (DEFA).

1. For Part A the facility a thirty year loan with the Ohio Water Pollution Control Loan Fund (WPCLF) through DEFA was approved for \$13,871,722.75 – See Figure 1.
2. For Part B Round 30 funding request to Ohio Public Works Commission (OPWC) was approved for \$500,000.00 at zero percent interest Loan/Grant CQ39T with a Request to Proceed approved, see Figure 2.
3. For Part B the balance is being requested from Ohio Water Pollution Control Loan Fund (WPCLF), See Figure 3.

Figure One



Ashville

| Loan | Reports | Other Reports |
|--|-----------------------------------|-------------------------|
| Description: New Water Resource Recovery Facility NRD | Loan Summary | All Transactions |
| Purpose: Sewer | Loan Transactions (Detail) | |
| Account Number: 7256 | Disbursement Detail | Forms |
| EPA ID: CS390122-0010 | Audit Confirmation | |

Loan Information

| Funding Account Name | Interest Rate | Amount Financed + Interest | Loan Balance Outstanding | | | | | | | | | | | | | | | | |
|--|---------------|----------------------------|--------------------------|--------------------|--------------------|---------------------|---------------------|------|--------------------|-------------------|----------------|----------|--|--|--------------|----|------------|------------|-------------|
| Water Pollution Control Loan Fund | 0.48% | \$13,871,722.75 | \$0.00 | | | | | | | | | | | | | | | | |
| <table border="1"> <thead> <tr> <th>Application Status</th> <th>Loan Status</th> <th>Disbursement Status</th> <th>Amortization Status</th> <th>Term</th> <th>First Payment Date</th> <th>Last Payment Date</th> <th>Pay-Off Method</th> </tr> </thead> <tbody> <tr> <td>Approved</td> <td></td> <td></td> <td>NOT Complete</td> <td>30</td> <td>07/01/2018</td> <td>01/01/2048</td> <td>Installment</td> </tr> </tbody> </table> | | | | Application Status | Loan Status | Disbursement Status | Amortization Status | Term | First Payment Date | Last Payment Date | Pay-Off Method | Approved | | | NOT Complete | 30 | 07/01/2018 | 01/01/2048 | Installment |
| Application Status | Loan Status | Disbursement Status | Amortization Status | Term | First Payment Date | Last Payment Date | Pay-Off Method | | | | | | | | | | | | |
| Approved | | | NOT Complete | 30 | 07/01/2018 | 01/01/2048 | Installment | | | | | | | | | | | | |
| Total: | | \$13,871,722.75 | \$0.00 | | | | | | | | | | | | | | | | |

Loan Contractor Information

| Contractor ID | Contractor Name | Encumbered Amount | Disbursed Amount | Undisbursed Balance Amount |
|---------------|---------------------------|------------------------|------------------|----------------------------|
| A | Peterson Construction Co. | \$11,580,000.00 | \$0.00 | \$11,580,000.00 |
| CO | Contingencies | \$644,480.00 | \$0.00 | \$644,480.00 |
| PL | Planning Loan | \$957,646.75 | \$0.00 | \$957,646.75 |
| TS | Technical Services | \$642,692.00 | \$0.00 | \$642,692.00 |
| TS1 | Administration | \$46,904.00 | \$0.00 | \$46,904.00 |
| Total: | | \$13,871,722.75 | \$0.00 | \$13,871,722.75 |

Figure Two

OHIO PUBLIC WORKS COMMISSION
APPENDIX E - DISBURSEMENT REQUEST FORM AND CERTIFICATION

DISBURSEMENT REQUEST NUMBER: _____

Statement requesting the disbursement of funds from the OPWC pursuant to Section VI of the Project Agreement (the "Agreement") executed between the Director of the Ohio Public Works Commission (the "Director") and **The Village of Ashville, (129-02680 Pickaway County)** (the "Recipient"), dated **July 1, 2016**, for the sole and express purpose of financing the capital improvement project defined and described in Appendix A of the Agreement (the "Project") and named and numbered as **Water Resource Recovery Facility Improve, CQ39T (LOAN)**.

| EXPENDITURES PROGRESS: | (1) AS PER AGREEMENT | (2) PAID PRIOR TO THIS DRAW | (3) AS PART OF THIS DRAW | (4) PAID TO DATE (Column 2 + 3) |
|---------------------------------|----------------------------|-----------------------------------|--------------------------------|---------------------------------------|
| A) Project Engineering Costs | | | | |
| 1) Preliminary Engineering | \$0 | \$ | \$ | \$ |
| 2) Final Design | \$0 | \$ | \$ | \$ |
| 3) Construction Administration | \$0 | \$ | \$ | \$ |
| B) Right-of-Way | \$0 | \$ | \$ | \$ |
| C) Construction Costs | \$1,553,691 | \$ | \$ | \$ |
| D) Materials Purchased Directly | \$0 | \$ | \$ | \$ |
| E) Permits, Advertising, Legal | \$0 | \$ | \$ | \$ |
| F) Construction Contingencies | \$0 | \$ N/A | \$ N/A | \$ N/A |
| G) Totals | \$1,553,691 | \$ | \$ | \$ |

| FINANCING PROGRESS: | (1) AS PER AGREEMENT | (2) USED PRIOR TO THIS DRAW | (3) AS PART OF THIS DRAW | (4) USED TO DATE (Column 2 + 3) |
|---|----------------------------|-----------------------------------|--------------------------------|---------------------------------------|
| H) OPWC Funds | \$500,000 | \$ | \$ | \$ |
| I) Local Share | | | | |
| 1) In-kind Contributions | \$0 | \$ | \$ | \$ |
| 2) Public Revenues | \$0 | \$ | \$ | \$ |
| 3) Private Revenues | \$0 | \$ | \$ | \$ |
| J) Other Public Revenues | | | | |
| 1) ODOT/FHWA | \$0 | \$ | \$ | \$ |
| 2) OEPA | \$1,053,691 | \$ | \$ | \$ |
| 3) OWDA | \$0 | \$ | \$ | \$ |
| 4) CDBG | \$0 | \$ | \$ | \$ |
| 5) Other | \$0 | \$ | \$ | \$ |
| K) Total Local and Other Public Revenues | \$1,053,691 | \$ | \$ | \$ |
| L) Totals (H+K for each column) | \$1,553,691 | \$ | \$ | \$ |

[NOTE: Column totals for Line L must be equal to the column totals for Line G.]

Ohio Public Works Commission
Infrastructure Programs
Request To Proceed

Subdivision: Name: Village of Ashville, Ohio
Project Name: Water Resource Recovery Facility Improve
Contact: Franklin Cleman, Village Administrator
Email: fcleman@ashvilleohio.gov

OPWC Project #: C 039T
Date: 10/26/2016
Phone: (740) 207-1542

1. Flood Damage Reduction Standards (for projects involving construction or enhancements only)
A Local Floodplain Permit or approval from OONR must be issued for this project if it is in a 100 year floodplain is this project located within a 100 year floodplain?
 Yes No - Proceed to Section 2
 Does this applicant participate in the National Flood Insurance Program?
 Yes No
 If Yes, attach a copy of your local floodplain permit.
 If No, attach a letter from the OONR stating the requirements of the National Flood Insurance Program have been met.

2. Water Supply Project - Attachment Checklist
 OEPA Plan Approval Letter

3. Request for Change (include attachments)
 Project Schedule
 Local Officials

4. Vendor Designation Contractor Supplier Consultant Check FMBEC
 Name: FMBIS ENGINEERING, INC. Federal Tax ID: 31-1451322
 Address: 177 Tap Rd 191
 City: OHIO State: OH Zip: 43045
 Contact: Josh Everts Phone: (740) 555-1786
 Scope of Work: Install sewer lines from road to WSRF from current facility Contract Amount: \$1,488,605.15
 If Construction, Start Date: 12/13/2016 Estimated Completion Date: 12/13/2017

5. Local Authorization
 Authorized Signature: [Signature] Date: October 26, 2016

Return form and attachments to OPWC by one of the following methods:
 Mail Ohio Public Works Commission, 65 E. State Street, Suite 313, Columbus, Ohio 43215
 Email: [Email] Fax: 614 456 4664

For OPWC Use Only
 Notice to Proceed Request for Change Notes: _____
 Approved Approved
 Disapproved Disapproved
 Authorized Signature: _____ Date: _____

State of Ohio
PUBLIC WORKS COMMISSION

Approved Requests To Proceed

OPWC no longer has the ability to issue Notices to Proceed responses to Requests to Proceed. This information is now provided through online communication available on the OPWC Public Works Dashboard. If you have a Notice to Proceed request, please contact the most recent activity. To search for an approved, most recent request for the use of OPWC, click on the link below.

| Project ID | Date | Vendor | Amount |
|------------|------------|--------------------------------|------------|
| 03615 | 11/01/2016 | JP O'Neil Company | 2,111,700 |
| 03617 | 11/01/2016 | Reynolds Contracting Co., Inc. | 2,111,700 |
| 03618 | 11/01/2016 | John J. Baker, LLC | 12,220,000 |
| 03619 | 11/01/2016 | Richard Clark, Inc. | 20,000 |
| 03620 | 11/01/2016 | Management Design Group | 10,000 |
| 03621 | 08/01/2016 | Pratt & Whitney | 800,000 |
| 03622 | 08/01/2016 | Amelio & Co. | 20,000 |
| 03623 | 08/01/2016 | Public Services Inc. | 4,000,000 |
| 03624 | 08/01/2016 | Wentz & Associates, LLC | 2,000 |
| 03625 | 08/01/2016 | Richard Clark, Inc. | 40,000 |
| 03626 | 08/01/2016 | 8 State Engineering, Inc. | 600,000 |
| 03627 | 08/01/2016 | Integrated Construction, Inc. | 770,000 |
| 03628 | 08/01/2016 | JOHNSON | 2,750 |
| 03629 | 08/01/2016 | Harold L. Taylor, Inc. | 200,000 |
| 03630 | 08/01/2016 | GC Group & Associates, Inc. | 20,000 |
| 03631 | 08/01/2016 | Public Services Inc. | 4,000,000 |

Figure Three



Ohio Environmental Protection Agency
Division of Environmental & Financial Assistance
 50 West Town Street, Suite 700, Columbus, Ohio 43215
 Ph: (614) 644-2798 Fx: (614) 644-3687
www.epa.ohio.gov/defa

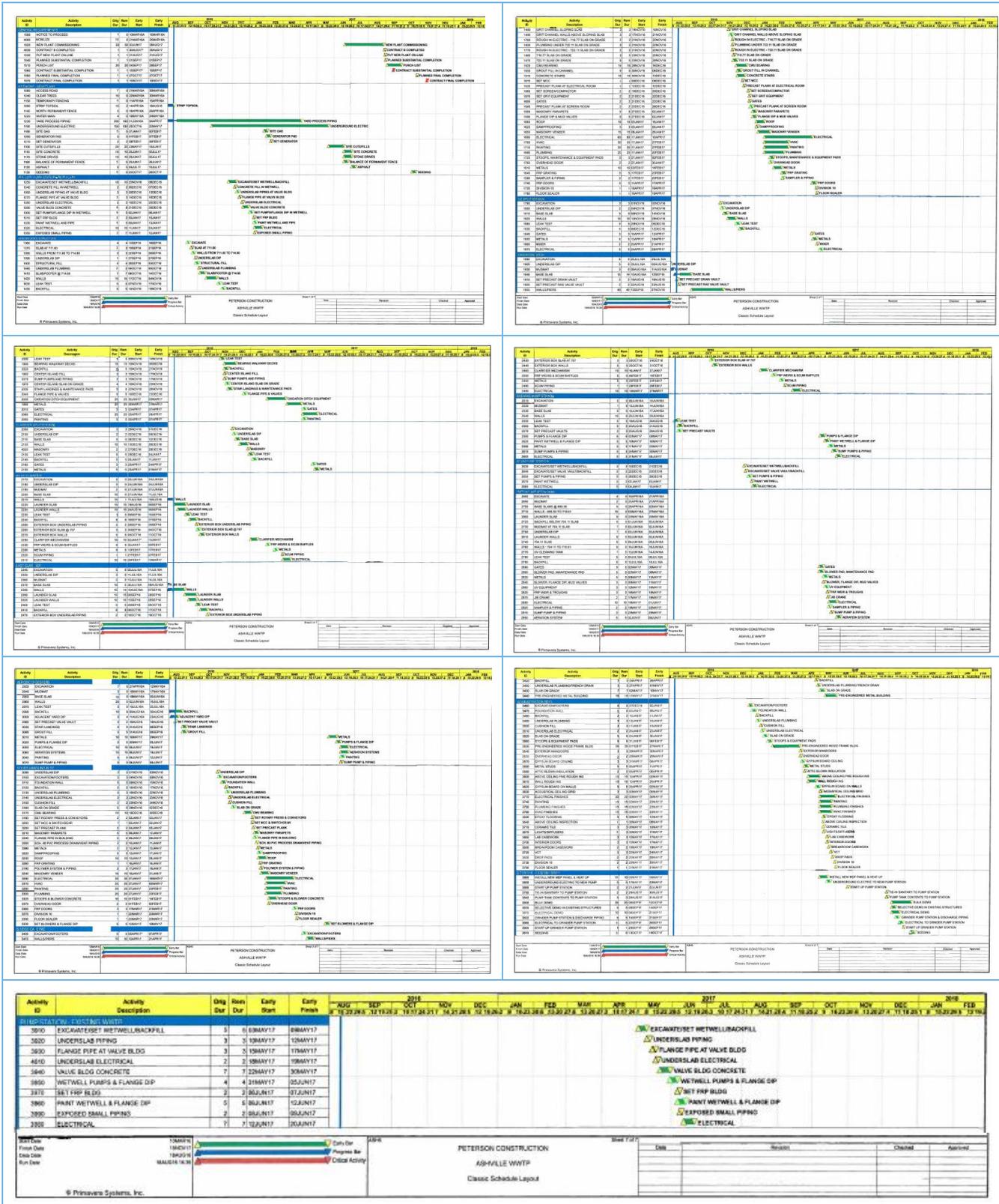
| Water Pollution Control Loan Fund (WPCLF) SEWERED CONSTRUCTION APPLICATION | | | | | |
|---|--|------------------------------|-----|---------------------------|-----------------------|
| Community Information | | | | | |
| Project Name | Village of Ashville, Ohio Sanitary Sewer Improvements 2016 (Part B) | | | | |
| Entity (Village, City or County) | Village of Ashville (Pickaway County) | | | | |
| Address | 200 Station Street East | | | | |
| City | Ashville | , Ohio | Zip | 43103 | |
| Authorized Representative | Franklin Christman | | | Title | Village Administrator |
| Telephone | 740-983-7132 | | | Fax | 740-983-7132 |
| Email | fchristman@ashvilleohio.gov | | | | |
| Community (DUNS) # | 41858922 | | | | |
| Consultant Engineer Name of Firm | AECOM | | | | |
| Engineering Contact | Matthew Noelker, P.E. | | | | |
| Telephone | 614-600-5980 | | | Fax | 614-464-0588 |
| Email | matthew.noelker@aecom.com | | | | |
| Project Type | | | | | |
| Construction Project | X | Loan Terms: (max. of 20 yrs) | 30 | Expected Loan Award Date: | 12/8/2016 |
| Project Description | | | | | |
| <i>Please provide a narrative describing the project. Attach additional pages if necessary.</i> | | | | | |
| The project consists of a 24-inch gravity outfall sewer and a 10-inch forcemain. The outfall sewer will be constructed from the site of a new WRRF to the Village's existing wastewater treatment plant outfall. The forcemain will be constructed from a pump station at the Village's existing wastewater treatment plant to the new WRRF. Also included are 18-inch and 24-inch gravity influent sewers, storm sewer relocation, and all associated improvements as shown on the plans and specified in the bid documents. | | | | | |
| Project Financing | | | | | |
| WPCLF Loan Amount | | | | | \$989,605.15 |
| Local Share | | | | | |
| Ohio Water Development Authority | (OWDA) | | | | |
| Ohio Public Works Commission | (OPWC) | | | | \$500,000.00 |
| Community Development Block Grant | (CDBG) | | | | |
| USEPA State and Tribal Assistance Grant | (STAG) | | | | |
| Appalachian Regional Commission | (ARC) | | | | |
| Other (Specify) | | | | | |
| Other (Specify) | | | | | |
| Total Project Cost | | | | | \$1,489,605.15 |

Page 1

All of the above applications and support material can be found on the webpage for this project: <http://www.ashvilleohio.gov/index.php/water-resource-recovery-facility>

Peterson Construction started construction on March 21, 2016. During the Monthly Construction Meeting progress is reviewed and reflected in the Peterson Schedule, see Figure Five.

Figure Five



Part B Construction:

Fields Excavating, Inc. has been selected by the Village of Ashville as the "Lowest and Best Bid", see Figure Six

Figure Six



RESOLUTION 15-2016
OF THE VILLAGE OF ASHVILLE



A RESOLUTION AUTHORIZING THE MAYOR AND/OR VILLAGE ADMINISTRATOR TO ENTER INTO AN AGREEMENT WITH FIELDS EXCAVATING, INC. FOR CONSTRUCTION OF PART B OF THE WATER RESOURCE RECOVERY FACILITY, AND TO EXECUTE CONTRACTS AS REQUIRED.

WHEREAS, the Village of Ashville, Ohio is planning to make improvements to areas of Ashville,

WHEREAS, the improvement herein above described is considered to be a priority need for the community,

NOW, AND THEREFORE BE IT RESOLVED BY THE COUNCIL, ASHVILLE, PICKAWAY COUNTY, STATE OF OHIO THAT:

SECTION ONE

The Mayor or Village Administrator is hereby authorized enter into an agreement with Fields Excavating, Inc. as the lowest and best bid, Bid Sheet Exhibit A and AECOM Recommendation Letter Exhibit B.

SECTION TWO

The fund that will be used for the Water Resource Recovery Facility Project Part B is Wastewater Improvement Utility Distribution Systems (Sewer Plant Expansion) 5702-543-560-5003. The cost will be limited to the Bid Amount of \$1,489,605.15 Exhibit C, and any approved change orders that cannot exceed the OWPC and DEFA Agreement Amount of \$13,534,075.80 which includes Part A and \$500,000 Exhibit D.

SECTION THREE

That is resolution shall take effect and be in force from and after the earliest period allowed by law.

Therefore, this resolution will take immediately upon its passage.

NOW, THEREFORE, be it resolved by the Village of Ashville Council

Offered by: Nelson R. Embrey

Seconded by: Randy S. Loveless

PASSED THIS 24th DAY OF OCTOBER, 2016

ATTEST:

[Signature of April D. Grube]

April D. Grube, Clerk-Fiscal Officer

DATE: 10/25/16

APPROVED:

[Signature of Charles K. Wise]
Charles K. Wise, Mayor

DATE: 25 OCT 16

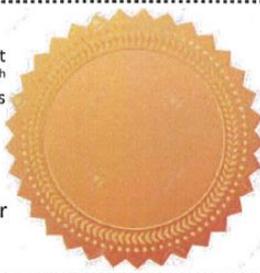
Prepared: 10/06/2016
Revised Date:
Review Date:

CERTIFICATE OF RECORDING OFFICER

I, the undersigned, hereby certify, that the foregoing is a true and correct copy of the resolution adopted by the Village of Ashville Council held on 24th day of October 2016, and that I am duly authorized to execute this certificate.

(Original signature of April D. Grube)

Clerk-Fiscal Officer
(TITLE)



WATER RESOURCE RECOVERY FACILITY WEBPAGE

This webpage can be accessed at www.ashvilleohio.gov. On the home page you click on the logo that was designed for this project, see image to the right. That page will inform the residents on the status of this project. Figure Seven represents the WRRF Project Home Webpage You can access through the indicated logo or <http://www.ashvilleohio.gov/index.php/water-resource-recovery-facility>



Figure Seven

The screenshot shows the website for the Village of Ashville, Ohio. The main content area is titled "Water Resource Recovery Facility" and includes a list of documents and updates. A prominent feature is the "Ashville's Water Resource Recover Facility Project Planning Timeline 2015 - 17".

Water Resource Recovery Facility

This page is established to provide you information on this project.

- page updated November 2, 2016 >> Bid Documents
- page updated October 3, 2016 >> Pre-bid Agenda
- page updated September 21, 2016 >> Part B Legal Notice
- page updated August 25, 2016 >> Construction Schedule
- page updated August 4, 2016 >> O&P Loan Contract and Information
- page updated October 10, 2016 >> Construction Photos
- page updated April 10, 2016 >> DEFA Loan Documents
- Page updated March 10, 2016 >> Pre-construction Meeting Information
- Page updated March 7, 2016 >> Pre-construction Information
- Page updated February 12, 2016 >> DEFA Loan Executed

By clicking on many of the images below you can access pdfs of the items indicated.

Ashville's Water Resource Recover Facility Project Planning Timeline 2015 - 17

3 1/2 Year or 42 Months

WRRF Plan Implementation

- 7 months submit final design plan (D) and a complete and approved Permit to Install (PTI) application for the new WRRF, as approved for an SPOB permit, and an anti-degradation reduction.
- Correct any deficiencies within 30 days of notification by area State Ohio EPA.
- 3.16 months commence construction of the new WRRF in accordance with the approved PTI.
 - Within 7 days of commencing construction notify the CDC.
 - 6.07 months of the effective date of these Orders, Respondents shall complete construction of the new WRRF in accordance with the approved PTI.
 - Within 7 days of completing construction, notify the CDC.
 - 5.09 days of completion of construction of the new WRRF, the WRRF shall enter operational area and shall meet the final effluent limitations in Respondents SPOB permit.
 - Within 7 days of when operational area and meet final effluent limitations notify the CDC.

Timeline Begins June 14, 2014

- March 14, 2015: Design & PTI Submitted
- March 2016: Construction Begins
- June 14, 2017: Construction Completed
- Nov 15, 2017: Plant Opens

A pdf version is available by clicking on above image.

Page 1 of 1

We have revised the Project Webpage to make it easier to navigate. On the top right corner we have added “page-breaks”, see Figure Eight. The objective of transparency resulted in so much material on one page. Therefore that page has been divided it into categories. These categories will make it easier to find information.

Figure Eight

| |
|---|
| Water Resource Recovery Facility |
| The construction of the WRRF in Pictures |
| Construction Process from Bidding to Construction for Part B |
| Construction Process from Bidding to Construction for Part A |
| WRRF EA and FONSI |
| Property Acquisition |
| Ohio EPA Director’s Final Finding and Orders Quarterly Report |
| OWDA, DEFA, WPCLF, and OPWC Loan Information |
| URS and AECOM Information |
| All Pages |

2016 VILLAGE OF ASHVILLE 3RD QUARTERLY F & O REPORT



Infiltration and Inflow (I & I) Reduction Plan

The second section of this quarterly report will deal with the status of I & I. The first heading will discuss the work being done on the I & I Reduction Plan Procedure Manual and the I & I Tool Box. The second heading will deal with the funding for an I & I Program.

INFILTRATION AND INFLOW (I & I) PLAN IMPLEMENTATION

In the last three quarterly reports there was a focus on the I & I Reduction Plan which included an I & I Tool Box. That plan included monitoring “Metersheds” from that metering the Village has decided to contract with Hunt Engineering to LLC to do the following for Beaver Alley:

Scope of Services

1. Develop cost analysis of feasible alternatives for inflow and infiltration mitigation in the Village of Ashville gravity wastewater collection system of the sewerage serving the east side of East Street and the west side of Walnut Street from the northern terminal end at Station Street to the southern extent of the south flow direction to Church Street. Alternatives will be developed by evaluation of existing conditions from data and information collected by the Village.
2. Design and prepare professional engineering drawings of the proposed mitigation strategy for the inflow and infiltration repairs/replacement of the Village of Ashville collection system in the above referenced section of the system. Survey data for design plans will be based on original contract drawings of the system unless they cannot be located. If a professional survey of the alignment is required due to the lack of existing plans, an additional fee will be required as specified below.
3. Draft contractor specifications for use in bid documents for public bidding of repair/replacement project.
4. Coordinate with Ohio EPA regarding the mitigation measures chosen and complete any required Permit-to-Install or Plan Review application for Ohio EPA approval for this project as necessary.



The intent with the Scope of Service of Hunt Engineering would be to completely evaluate the connections to the storm and sanitary lines in Beaver Alley. This includes mains and laterals. The main will be completely rehabilitated. At the same time any problem outside the main that is identified will be corrected. Mr. Hunt has begun survey and evaluation of the Beaver Alley storm and sanitary lines. We expect a recommendation from him by the next quarterly report.

While the Beaver Alley Project is designed and completed another line for rehabilitation will be identified to move forward within the I & I Reduction Plan Manual and Toole Box.

FINANCING STATUS

There are two internal funding sources for I & I. First the Village has created in their Appropriation Budget an I & I Fund Account 34¢ for every 1000 gallons of water used will go exclusively to I & I Reduction. The effect of this can be seen in the Revenue Status Report through October 2016, see Figure Nine. The I & I account generated \$102,161.25 in revenue, The second source of funding will be an existing Stormwater Fund and through October 2016 that fund collected \$70,767.40, see Figure Nine. The two funding sources will be used to establish a \$50,000 per year appropriation budget for I & I. Some of this will be directly used. Another portion will be used as seed money for Grants and Loans.

The Quality Monitor “Dashboard” is still being developed in Excel to quantify this process. This Dashboard will be used to measure how much is spent in relationship to how affective the I & I intervention has been.

I & I REDUCTION WEBPAGE

Since that Plan and Tool Box is already submitted this quarterly report will not repeat that entire plan. The entire Plan and Tool Box can be accessed at www.ashvilleohio.gov. On the home page you click on the logo that was designed for the Plan, see above. That page will inform the residents the status of the project. As the Beaver Alley Project moves forward it will be added as a Project Webpage. All the items above can be found on this webpage by clicking on the following icon.



Revenue Status

By Fund

As Of 10/31/2016

UAN v2016.2

Figure Nine

Fund: 5101 Water Operating

| Account Code | Account Name | Final Budget | Revenue | Budget Balance | YTD % Received |
|----------------------|-------------------------------------|---------------------|----------------|-----------------------|-----------------------|
| 5101-310-0000 | Street Improvement and Maintenance | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| 5101-541-0000 | Consumer Rent | \$649,895.00 | \$268,864.22 | \$381,030.78 | 41.370% |
| 5101-542-0000 | Tap Fees | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| 5101-549-4000 | Other - Utilities{WATER METERS} | \$900.00 | \$12,600.29 | -\$11,700.29 | 1400.032% |
| 5101-549-4001 | Other - Utilities{TANK FEE} | \$30,164.00 | \$19,322.66 | \$10,841.34 | 64.059% |
| 5101-622-0000 | Inspections | \$105.00 | \$1,470.00 | -\$1,365.00 | 1400.000% |
| 5101-892-0000 | Other - Miscellaneous Non-Operating | \$0.00 | \$2,005.79 | -\$2,005.79 | 0.000% |
| 5101-931-0000 | Transfers - In | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| Fund 5101 Sub-Total: | | \$681,064.00 | \$304,262.96 | \$376,801.04 | 44.675% |

Fund: 5201 Waste Water Operating

| Account Code | Account Name | Final Budget | Revenue | Budget Balance | YTD % Received |
|----------------------|--|---------------------|----------------|-----------------------|-----------------------|
| 5201-330-5003 | Sewer Improvement{SEWER PLANT EXPANSION} | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| 5201-541-0000 | Consumer Rent | \$537,252.00 | \$407,966.40 | \$129,285.60 | 75.936% |
| 5201-542-0000 | Tap Fees | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| 5201-549-5003 | Other - Utilities{SEWER PLANT EXPANSION} | \$0.00 | \$86,973.02 | -\$86,973.02 | 0.000% |
| 5201-549-5004 | Other - Utilities{I & I} | \$26,370.00 | \$22,898.79 | \$3,471.21 | 86.837% |
| 5201-622-0000 | Inspections | \$0.00 | \$1,400.00 | -\$1,400.00 | 0.000% |
| 5201-892-0000 | Other - Miscellaneous Non-Operating | \$0.00 | \$1,944.86 | -\$1,944.86 | 0.000% |
| 5201-931-0000 | Transfers - In | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| 5201-941-0000 | Advances - In | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| Fund 5201 Sub-Total: | | \$563,622.00 | \$521,183.07 | \$42,438.93 | 92.470% |

Revenue Status

By Fund

As Of 10/31/2016

Fund: 5701 Water Improvement

| <u>Account Code</u> | <u>Account Name</u> | <u>Final Budget</u> | <u>Revenue</u> | <u>Budget Balance</u> | <u>YTD % Received</u> |
|----------------------|---------------------|---------------------|----------------|-----------------------|-----------------------|
| 5701-542-0000 | Tap Fees | \$9,000.00 | \$120,000.00 | -\$111,000.00 | 1333.333% |
| 5701-941-0000 | Advances - In | \$0.00 | \$25,000.00 | \$0.00 | 0.000% |
| Fund 5701 Sub-Total: | | \$9,000.00 | \$145,000.00 | -\$111,000.00 | 1611.111% |

Fund: 5702 Sewer Improvement

| <u>Account Code</u> | <u>Account Name</u> | <u>Final Budget</u> | <u>Revenue</u> | <u>Budget Balance</u> | <u>YTD % Received</u> |
|----------------------|--|---------------------|----------------|-----------------------|-----------------------|
| 5702-424-5003 | State - Pass Through Grants{SEWER PLANT EXPANSION} | \$933,119.00 | \$32,644.04 | \$900,474.96 | 3.498% |
| 5702-542-0000 | Tap Fees | \$9,000.00 | \$106,403.00 | -\$97,403.00 | 1182.256% |
| 5702-931-5003 | Transfers - In{SEWER PLANT EXPANSION} | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| 5702-971-5003 | Other Debt Proceeds{SEWER PLANT EXPANSION} | \$13,871,722.75 | \$4,239,387.52 | \$9,632,335.23 | 30.561% |
| Fund 5702 Sub-Total: | | \$14,813,841.75 | \$4,378,434.56 | \$10,435,407.19 | 29.556% |

Fund: 5704 STORM SEWER IMPROVEMENT

| <u>Account Code</u> | <u>Account Name</u> | <u>Final Budget</u> | <u>Revenue</u> | <u>Budget Balance</u> | <u>YTD % Received</u> |
|----------------------|-------------------------------------|---------------------|----------------|-----------------------|-----------------------|
| 5704-549-0000 | Other - Utilities | \$88,022.00 | \$70,767.40 | \$17,254.60 | 80.397% |
| 5704-892-0000 | Other - Miscellaneous Non-Operating | \$0.00 | \$0.00 | \$0.00 | 0.000% |
| Fund 5704 Sub-Total: | | \$88,022.00 | \$70,767.40 | \$17,254.60 | 80.397% |

Fund Status

As Of 10/31/2016

| Fund Number | Fund Name | % of Total Pooled | Fund Balance | Investments (Non-Pooled) | Checking & Pooled Investments (Pooled) |
|------------------------------------|--------------------------------------|--------------------------|-----------------------|---------------------------------|---|
| 1000 | General | 25.743% | \$402,390.42 | \$0.00 | \$402,390.42 |
| 2011 | Street Construction Maint. & Repair | 2.976% | \$46,510.84 | \$0.00 | \$46,510.84 |
| 2021 | State Highway | 0.957% | \$14,957.26 | \$0.00 | \$14,957.26 |
| 2041 | Parks and Recreation | 0.239% | \$3,732.73 | \$0.00 | \$3,732.73 |
| 2042 | Parks, PIK-010 | 0.000% | \$0.00 | \$0.00 | \$0.00 |
| 2081 | Drug Law Enforcement | 0.027% | \$420.00 | \$0.00 | \$420.00 |
| 2101 | Permissive Motor Vehicle License Tax | 2.246% | \$35,108.19 | \$0.00 | \$35,108.19 |
| 2271 | POLICE EDUCATION | 0.222% | \$3,470.00 | \$0.00 | \$3,470.00 |
| 2901 | Street Building Code | 0.483% | \$7,556.66 | \$0.00 | \$7,556.66 |
| 2902 | Surface Water Building Code | 0.118% | \$1,851.80 | \$0.00 | \$1,851.80 |
| 2903 | General Fund Building Code | 1.132% | \$17,695.16 | \$0.00 | \$17,695.16 |
| 2904 | MAYORS COURT COMPUTER FUND | 0.066% | \$1,031.04 | \$0.00 | \$1,031.04 |
| 2905 | FEMA | 0.000% | \$0.00 | \$0.00 | \$0.00 |
| 4201 | Grant Construction | 0.000% | \$0.31 | \$0.00 | \$0.31 |
| 4203 | GRIGGS RR CROSSING RELOCATION | 0.000% | \$0.00 | \$0.00 | \$0.00 |
| 5101 | Water Operating | 4.306% | \$67,301.58 | \$0.00 | \$67,301.58 |
| 5201 | Waste Water Operating | 26.489% | \$414,043.64 | \$0.00 | \$414,043.64 |
| 5701 | Water Improvement | 10.917% | \$170,639.66 | \$0.00 | \$170,639.66 |
| 5702 | Sewer Improvement | 14.399% | \$225,077.46 | \$0.00 | \$225,077.46 |
| 5703 | RANDOLPH ST STORMWATER IMPROV | 0.035% | \$548.30 | \$0.00 | \$548.30 |
| 5704 | STORM SEWER IMPROVEMENT | 5.737% | \$89,675.86 | \$0.00 | \$89,675.86 |
| 5721 | FmHA Sinking Fund | 0.000% | \$0.34 | \$0.00 | \$0.34 |
| 5741 | FmHA Debt Reserve | 1.599% | \$25,000.00 | \$0.00 | \$25,000.00 |
| 5742 | FmHA Debt Surplus | 1.599% | \$25,000.00 | \$0.00 | \$25,000.00 |
| 5781 | Enterprise Deposit Fund | 0.582% | \$9,097.21 | \$0.00 | \$9,097.21 |
| 9901 | DEVELOPER REVOLVING FUND | 0.128% | \$2,002.72 | \$0.00 | \$2,002.72 |
| All Funds Total | | | \$1,563,111.18 | \$0.00 | \$1,563,111.18 |
| Pooled Investments | | | | | \$0.00 |
| Secondary Checking Accounts | | | | | \$1,267,986.11 |
| Available Primary Checking Balance | | | | | \$295,125.07 |